

Verified Statement (Declaration) Claiming Small Entity Status
(37 C.F.R. §§ 1.9(e) and 1.27(d)) – Nonprofit Organization

Applicant or Patentee: Ashley L. BUSH et al.

Appl. or Patent No.: 08/816,122

Attorney Docket No. 0609.4350000/REF/JUK

Filed or Issued: March 11, 1997

For: Method for the Identification of Agents for Use in the Treatment of Alzheimer's Disease

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION

ADDRESS OF NONPROFIT ORGANIZATION

The General Hospital Corporation

55 Fruit Street

Boston, MA 02144

TYPE OF NONPROFIT ORGANIZATION

- ☐ University or other institution of higher education
- ☒ Tax exempt under Internal Revenue Service Code (26 U.S.C. §§ 501(a) and 501(c)(3))
- ☐ Nonprofit scientific or educational under statute of state of The United States of America
(Name of state _____)
(Citation of statute _____)
- ☐ Would qualify as tax exempt under Internal Revenue Service Code (26 U.S.C. §§ 501(a) and 501(c)(3)) if located in The United States of America
- ☐ Would qualify as nonprofit scientific or educational under statute of state of The United States of America if located in The United States of America
(Name of state _____)
(Citation of statute _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 C.F.R. § 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in:

- ☐ the specification filed herewith with title as listed above.
- ☒ the application identified above.
- ☐ the patent identified above.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention must file a separate verified statement averring to their status as small entities and that no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 C.F.R. § 1.9(e) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 C.F.R. § 1.9(d) or a nonprofit organization under 37 C.F.R. § 1.9(e).

Each person, concern or organization, having any rights in the invention (other than the nonprofit organization named above) is listed below:

- ☒ no such person, concern, or organization exists.
- ☐ each such person, concern, or organization is listed below:

NAME _____

ADDRESS _____

() INDIVIDUAL () SMALL BUSINESS CONCERN () NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. § 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING

DAVID J. GLASS, Ph.D.

TITLE IN ORGANIZATION

ASSOCIATE DIRECTOR FOR PATENTS

ADDRESS OF PERSON SIGNING

OFFICE OF TECHNOLOGY AFFAIRS

SIGNATURE _____

DATE

9/12/97

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

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NAME OF PERSON SIGNING _____

TITLE IN ORGANIZATION DAVID J. GLASS, Ph.D.

ADDRESS OF PERSON SIGNING ASSOCIATE DIRECTOR FOR PATENTS

SIGNATURE OFFICE OF TECHNOLOGY AFFAIRS

DATE

7/10/97

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

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